

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kaushal, et al.	Group Art Unit: Unknown
Serial No: N/A	Examiner: Unknown
Filed: Herewith	
For: HEATED CATALYTIC TREATMENT OF AN EFFLUENT GAS FROM A SUBSTRATE FABRICATION PROCESS	Attorney Docket No: 004086 USA/ETCH/CORE TECH/JB May 10, 2001 San Francisco, California

je979 U.S. PRO
09/872254



INFORMATION DISCLOSURE STATEMENT

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir/Madam:

Attached hereto are PTO-1449 forms listing documents believed relevant to the subject application. It is respectfully requested that these documents be considered by the Examiner and initialed copies of the PTO-1449 forms be returned to the undersigned.

It should be noted that the word "prior" has been deleted from the form.

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 707.05(b). If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed.

Some of the documents may have markings thereon. No significance is meant to be attached to the markings.

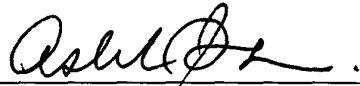
With regard to any translations which may be provided herewith, the undersigned does not know how and who made the translations. Therefore, no representation is being made as to the accuracy of any translation.

These documents are not necessarily analogous.

Respectfully submitted,

JANAH & ASSOCIATES
A PROFESSIONAL CORPORATION

Date: 5/10/01

By: 
Ashok K. Janah
Reg. No. 37,487

Please direct all telephone calls to Ashok K. Janah at (415)538-1555

Please direct all correspondence to:

Patent Counsel
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P.O. Box 450A
Santa Clara, California 95052

Encl.

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTORNEY DOCKET NO. 004086 USA/ETCH/CORE TECH/JB				SERIAL NO.: N/A			
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)										APPLICANT: Kaushal, et al.			
										FILING DATE: Herewith			
U.S. PATENT DOCUMENTS													
Examiner Initial	DOCKET NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE							
	A1	2 8 1 9 1 5 1	01/07/58	FLEMMERT									
	A2	3 2 0 3 7 5 9	08/31/65	FLEMMERT									
	A3	3 9 6 9 4 8 2	07/13/76	TELLER									
	A4	3 9 6 9 4 8 5	07/13/76	FLEMMERT									
	A5	3 9 8 3 0 2 1	09/28/76	HENIS									
	A6	4 2 0 6 1 8 9	06/03/80	KOSINTSEV, ET AL.									
	A7	4 4 7 9 4 4 3	10/30/84	FALDT, ET AL.									
	A8	4 6 6 1 3 2 3	04/28/87	OLESEN									
	A9	4 6 6 2 3 5 2	05/05/87	AVILES, JR.									
	A10	4 7 5 3 9 1 5	06/28/88	VOGT, ET AL.									
	A11	4 7 8 8 0 3 6	11/29/88	EISELSTEIN, ET AL.									
	A12	4 9 5 4 3 2 0	09/04/90	BIRMINGHAM, ET AL.									
	A13	4 9 6 6 6 1 1	10/30/90	SCHUMACHER, ET AL.									
	A14	5 1 3 7 7 0 1	08/11/92	MUNDT									
	A15	5 1 5 1 1 1 6	09/29/92	SCHOLZ, ET AL.									
	A16	5 1 7 6 8 9 7	01/05/93	LESTER									
	A17	5 4 1 7 9 3 4	05/23/95	SMITH, ET AL.									
	A18	5 4 3 9 5 6 8	08/08/95	UCHIYAMA									
	A19	5 5 1 0 0 6 6	04/23/96	FINK, ET AL.									
	A20	5 5 8 4 9 5 9	12/17/96	KIMURA, ET AL.									
	A21	5 5 8 9 1 4 8	12/31/96	Otsuka, et al.									
	A22	5 5 9 7 5 4 0	01/28/97	Akita, et al.									
	A23	5 6 4 3 5 4 5	07/01/97	Chen, et al.									
	A24	5 6 4 9 9 8 5	07/22/97	IMAMURA									
	A25	5 7 2 0 4 4 4	02/24/98	WHEELER, ET AL.									
	A26	5 7 2 0 9 3 1	02/24/98	ROSSIN, ET AL.									
	A27	5 7 6 2 8 9 3	06/09/88	SCHOLZ, ET AL.									
	A28	5 7 7 9 8 6 3	07/14/98	HA, ET AL.									
	A29	5 8 1 7 2 8 4	10/06/98	Nakano, et al.									
EXAMINER				DATE CONSIDERED									
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTORNEY DOCKET NO. 004086 USA/ETCH/CORE TECH/JB				SERIAL NO.: N/A			
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)						APPLICANT: Kaushal, et al.							
						FILING DATE: Herewith				GROUP: Unknown			
U.S. PATENT DOCUMENTS													
Examiner Initial		DOCKET NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5	8	4	3	2	8	8	12/01/98	YAMAMOTO			
	A2	5	8	5	8	0	6	5	01/12/99	LI, ET AL.			
	A3	5	8	6	5	8	7	9	02/02/99	LEE			
	A4	5	9	1	4	0	9	1	06/22/99	HOLST, ET AL.			
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						FILING DATE: Herewith				GROUP: Unknown					
FOREIGN PATENT DOCUMENTS															
		DOCKET NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO
	B1	51	1	2	9	8	6	8	11/11/76	JAPAN			x		
	B2	58	4	5	7	1	8		03/17/83	JAPAN			x (abstract)		
	B3	DD	2	1	5	7	0	6	11/21/84	GERMANY			x		
	B4	3	9	7	6	8			02/12/91	JAPAN			x (abstract)		
	B5	10	1	9	2	6	5	3	07/28/98	JAPAN			x		
	B6	09	1	6	6	3	8	8A	05/19/99	EP Application			x		
	B7	08	8	5	6	4	8	A	12/23/98	EP Application			x		
	B8	19	5	2	6	7	3	7	1/23/97	Germany				x	
	B9														
	B10														
	B11														
	B12														
	B13														
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				FILING DATE: Herewith		GROUP: Unknown	
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
	C1	PCT Search Report dated December 4, 2000.					
	C2						
	C3						
	C4						
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PENDING U.S. PATENT APPLICATIONS

Attorney Docket Number: 004086 USA/ETCH/CORE TECH/JB
 Inventors: Kaushal, et al.
 Serial Number: N/A
 Filing Date: Herewith

EXAMINER INITIAL		PENDING U.S. PATENT APPLICATIONS
	D1	U.S. Patent Application entitled, "Treatment of Process Gas Effluent"; filed July 28, 1999; Serial No. 09/363,302; Inventors: Kaushal, et al.
	D2	U.S. Patent Application entitled, "Heater for Process Gas Effluent", filed January 28, 2000; Serial No. 09/493,307; Inventors: Borgaonkar, et al.
	D3	
	D4	
	D5	
	D6	
	D7	
	D8	
	D9	
	D10	
	D11	
	D12	
	D13	
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	D15	